



OFFICE OF JUSTICE
PRINCIPALITY OF LIECHTENSTEIN

FOUNDATION AND TRUST SUPERVISION/ANTI-MONEY LAUNDERING

Office of Justice
Foundation and Trust Supervision/Anti-Money Laundering
Giessenstrasse 3
P.O. Box 684
9490 Vaduz
Liechtenstein

Mandatory fields are marked with *.

Application form for the disclosure of data to banks and financial institutions domiciled in an EEA Member State or in a third country with equivalent requirements¹

Applicant

Name/company name:*	
Address:*	Domicile:*
Telephone:*	E-mail:*

In case of foreign applicants, the following additional information is required²

Designation of the competent supervisory authority:*
Address of the supervisory authority:*
Website of the supervisory authority:*

¹ In the third countries in question, the requirements laid down in Directive (EU) 2015/849 and the data protection requirements pursuant to Art. 45 of Regulation (EU) 2016/679 must be met.

² This concerns applicants whose domicile is located outside of Liechtenstein.

Applicant's licence

The applicant holds a licence in terms of Art. 3 of the Directive (EU) 2015/849 as: ³
a credit institution
an undertaking other than a credit institution, which carries out one or more of the activities listed in points (2) to (12), (14) and (15) of Annex I to Directive 2013/36/EU of the European Parliament and of the Council, including the activities of currency exchange offices (bureaux de change)
an insurance undertaking carrying out life insurance activities
an investment firm
a collective investment undertaking marketing its units or shares
an insurance intermediary acting with respect to life insurance and other investment-related services

Legal entity

It is requested that data on the beneficial owners of the following legal entity be disclosed:

Name/company name/designation:*	
Domicile:	Registration number/company number:
Legal representative/address for service:	

Requirement of data

The legal entity's data from the Register of Beneficial Owners is required by the applicant to fulfil due diligence obligations and/or to perform duties in combating money laundering, predicate offences to money laundering, and terrorist financing.

³ At least one licensing category must be ticked. Multiple answers are possible.

For the applicant⁴

Place, date:*	
Name/company name:*	
_____ 5 _____ 5	
First name + last name:*	First name + last name:
Function:*	Function:
Signature authority:*	Signature authority:

Notes

- To verify the eligibility to submit an application, the Office of Justice, Foundation and Trust Supervision/Anti-Money Laundering, will compare the signature on the application with the signature on the declaration of acceptance and signature deposited in the Commercial Register or with an equivalent document.
- If the eligibility to submit an application cannot be fully verified by the above-mentioned comparison, the Office of Justice, Foundation and Trust Supervision/Anti-Money Laundering, may request additional documents.

⁴ The application may be signed by the following persons:

- authorised persons as per a separate form (these persons need not state their function and signature authority); or
- a member / members of the executive body of the bank / the financial institution as per the Commercial Register or a comparable foreign register. The signature authority entered in the Commercial Register must be complied with. The following functions are considered to be members of the executive body: member of the board of directors, member of the board of trustees, member of the foundation council, member of the executive board, member of the management, managing director, member of the directorate, director, or CEO.

⁵ The application form must be submitted as a signed original to the Office of Justice, Foundation and Trust Supervision/Anti-Money Laundering.