



OFFICE OF JUSTICE
PRINCIPALITY OF LIECHTENSTEIN

FOUNDATION SUPERVISION AND ANTI-MONEY LAUNDERING

Office of Justice
Foundation Supervision and Anti-Money Laundering
Kirchstrasse 8
P.O. Box 684
9490 Vaduz
Liechtenstein

Mandatory fields are marked with *.

Application form for the limitation of the disclosure of data to domestic persons subject to due diligence and to third parties

The application must be signed by a member / by members of the legal entity's executive body¹ as entered in the Commercial Register. The signature authority entered in the Commercial Register must be complied with.

Applicant²

Name/company name/designation:*	
Domicile:	Registration number/company number:
Telephone:*	E-mail:*

Beneficial owner

First name:*	Last name:*
Date of birth:*	
For beneficial owners in the function of a founder or protector: * ³	
exercise of control	<u>no</u> exercise of control

¹ The following functions entered in the Commercial Register are understood considered to be members of the executive body: member of the board of directors, member of the board of trustees, member of the foundation council, member of the executive board, member of the management, managing director, member of the directorate, director, or CEO.

² The application must be submitted by the legal entity for the person concerned. One application must be submitted per beneficial owner.

³ If the beneficial owner concerned is a founder or protector of a non-unattached legal entity pursuant to Schedule 1 of the Act on the Register of Beneficial Owners of Legal Entities (VwbPG) or of a legal entity pursuant to Schedule 2 of the VwbPG, it has to be stated whether or not the beneficial owner exercises control of the legal entity. In this context it is expressly pointed out that by law a non-controlling founder or protector is not disclosed to domestic persons subject to due diligence and third parties and thus there is no legal interest to limit the disclosure (Art. 16(1) VwbPG and Art. 17(4) VwbPG). Founders and protectors shall be defined as the persons referred to in Art. 2(1)(h) VwbPG.

Reasons for the limitation of the disclosure

The following legal reasons apply:

The beneficial owner is:*
exposed to a disproportionate risk of fraud, abduction, blackmail, racketeering, harassment, violence, or intimidation as a result of the disclosure of data
a minor
otherwise legally incapable
Description/designation of the evidence ⁴ demonstrating the applicability of the legal reasons in a detailed and comprehensive manner:*
Description of the facts in the present matter: ⁵

For the Applicant

Place, date:*	
Name/company name/designation:*	
_____ 6	_____ 6
First name + last name:*	First name + last name:
Function:*	Function:
Signature authority:*	Signature authority:

⁴ Concrete evidence must be presented that proves a particular risk situation (risk of fraud, abduction, blackmail, racketeering, harassment, violence or intimidation), that the beneficial owner is a minor or otherwise legally incapable. In the case of a particular risk situation, the evidence must be suitable to prove the causal relation between the beneficial ownership and the increased risk situation in the specific individual case.

⁵ If a particular risk situation (risk of fraud, abduction, blackmail, racketeering, harassment, violence or intimidation) exists, facts must be described that justify the assumption that the disclosure would expose the beneficial owner’s risk of becoming a victim of the offences mentioned. The explanation must include the fact that the interests requiring protection prevail and thus oppose the disclosure, considering all circumstances of the individual case.

⁶ The application must be submitted as a signed original to the Office of Justice, Foundation Supervision and Anti-Money Laundering.

Notes

- The limitation of the disclosure of data is granted for a period of five years.
- In the case of a minor beneficial owner, the limitation of disclosure is granted until the beneficial owner comes of age.
- The Office of Justice, Foundation Supervision and Anti-Money Laundering, will inform the legal entity of the expiry of these time-limits.
- If the requirements for limiting the disclosure cease before these time-limits expire, the legal entity must inform the Office of Justice, Foundation Supervision and Anti-Money Laundering, of this in writing.
- An extension of the limitation of disclosure is admissible if the legal entity provides proof to the Office of Justice, Foundation Supervision and Anti-Money Laundering, that prevailing justified interests continue to oppose the disclosure of data.
- To verify the eligibility to submit an application, the Office of Justice, Foundation Supervision and Anti-Money Laundering, will compare the signature on the application with the signature on the declaration of acceptance and signature deposited in the Commercial Register or with an equivalent document.
- If the eligibility to submit an application is cannot be fully verified by the above-mentioned comparison, the Office of Justice, Foundation Supervision and Anti-Money Laundering, may request additional documents.